

Order 11-14/15

Passage: 9-0 on 7/21/2014

Effective 7/31/2014

MICHAEL F. BRENNAN, (MAYOR)  
KEVIN J. DONOGHUE (1)  
DAVID A. MARSHALL (2)  
EDWARD J. SUSLOVIC (3)  
CHERYL A. LEEMAN (4)

**CITY OF PORTLAND  
IN THE CITY COUNCIL**

JOHN R. COYNE (5)  
JILL C. DUSON (A/L)  
JON HINCK (A/L)  
NICHOLAS M. MAVODONES, JR (A/L)

**ORDER APPROVING TWO-PARTY AGREEMENT  
BETWEEN THE CITY OF PORTLAND AND  
THE MAINE DEPARTMENT OF  
TRANSPORTATION  
RE: NEW SIGNAL EQUIPMENT FOR CUMBERLAND AVENUE AT PREBLE  
STREET AND ELM STREET INTERSECTIONS**

**ORDERED**, that the City Manager is authorized to enter into a two-party agreement between the City of Portland and the Maine Department of Transportation, in substantially in the form attached hereto, for the replacement of signal equipment for Cumberland Avenue intersections at Elm Street and Preble Street; and

**BE IT FURTHER ORDERED**, that the City Manager is hereby authorized to execute the two-party agreement and any other documents necessary to effect the purpose of the agreement.



**MaineDOT**

**MAINE DEPARTMENT OF TRANSPORTATION  
MUNICIPAL/STATE AGREEMENT**

**PROPOSED IMPROVEMENTS TO CUMBERLAND AVE. AT PREBLE ST. &  
CUMBERLAND AVE. AT ELM ST.**

<i>MaineDOT use only</i>	
TEDOCS #:	_____
CT #:	_____
CSN #:	_____
PROGRAM:	_____

*(MaineDOT Use Only)*

Project Location: <u>Portland</u>	Estimated Municipal Share: <u>\$220,000.00</u>
State WIN #: <u>20200.00</u>	Vendor Customer #: <u>17A12984</u>
Federal Aid Project #: <u>STP-2020(000)</u>	Agreement Begin Date: _____
	Agreement End Date: <u>(3 years from the date last signed below)</u>

This Agreement is entered into between the Maine Department Of Transportation (hereafter the "Department") and the PORTLAND, a municipal corporation located in the County of CUMBERLAND (hereafter the "Municipality") (hereinafter the "Parties") regarding the planning, development, design, right of way, construction, cost sharing and reimbursement for a project to make improvements to CUMBERLAND AVE. AT PREBLE ST. AND CUMBERLAND AVE. AT ELM ST., in PORTLAND, Maine, (hereafter Project) as follows:

The following checked appendices are hereby incorporated into this Agreement by reference:

- Appendix A – Project scope, cost sharing, and payment schedule
- Appendix B – Perpetual Bicycle/Pedestrian Facility Maintenance
- Appendix B – Perpetual Landscape Maintenance
- Appendix B – Perpetual Lighting/Flashing Beacon Operation and Maintenance
- Appendix B – Perpetual Traffic Signal Operation and Maintenance
- Appendix B – Perpetual Bridge Lighting/Approach Lighting Maintenance & Snow Plowing
- Appendix C – Additional work requested by Municipality

- A. The Department agrees to procure and administer a contract to construct the Project in accordance with the plans and specifications developed by the Department and, subject to Department approval. This would include any additional plans, specifications and estimates furnished by the Municipality. Please refer to Appendix A of this Agreement for the outline of the scope, limits of work and cost sharing.
- B. The Department shall be the sole administrator of this contract. The Department will pay all project costs, subject to cost sharing by the Municipality, when applicable, as specified in this agreement. Neither the Department nor its contractors will be required to pay for inspections and permits from the Municipality.
- C. The Department reserves the right to terminate the Project for any reason prior to the award of a contract to construct the Project. The Department also reserves the right to terminate all provisions

pertaining to work requested by the Municipality at any time prior to the award of a contract to construct the Project because of any failure by the Municipality to meet any of the conditions and stipulations set forth in this Agreement.

- D. Upon acceptance of plans, specifications and estimates, the Department shall solicit competitive bids for the Project. Upon acceptance of the lowest acceptable responsive, responsible bid to construct the Project and fulfillment of all terms set forth herein, the Department will submit the information to the Municipality, who will have up to five (5) business days to review the information and notify the Department of any questions or concerns. If the Department is not presented with any questions or concerns during the time allotted all decisions pertaining to the acceptance of the bids, the award and administration of the contract and all payments thereunder shall be the sole discretion of the Department.
- E. The Municipality, at its election, may request that changes be made or work added to the Project during the period of construction, provided the Municipality agrees in writing to pay any additional cost plus an amount not to exceed ten (10%) percent of such construction cost to cover all necessary engineering, inspection and administrative costs associated therewith, unless specified otherwise. All such requests shall be subject to approval by the Department. In the event that such changes or work are approved for federal participation in the cost thereof, such additional cost may be reduced to the non-federal share.
- F. The Municipality agrees to allow the Department's contractor to control all traffic through the work areas in accordance with the Traffic Control Plan approved by the Department. The development of the Traffic Control Plan will follow the process outlined below:
  - 1. The PM will submit the project for Traffic Analysis and Movement Evaluation (TAME), when possible, approximately one year prior to advertisement. Once the results are received, the PM/Regional Traffic Engineer will discuss the proposed project with the Municipality (scope, limits, day or night work, work window, etc).
  - 2. The Municipality will comment on their concerns/issues (two week timeframe allowed).
  - 3. The PM & Designer will incorporate these comments where practical based on engineering judgment.
  - 4. If the Municipality desires, a meeting will be held prior to PS&E to review the project design, Special Provision 105 – Limitations of Operations, Special Provision 107 - Time, etc.
  - 5. The Municipality will have the opportunity to review and comment.
- G. The Municipality agrees to alter, move, relocate or remove, or cause to be, at no cost to the Project, any municipal property, including all fixtures, facilities or monuments, located on, under or above the ground, as necessary to permit construction of the Project, which has not otherwise been provided for during the development of the Project. Any work necessary to do so during the period of construction shall be coordinated with the contractor for the Project.
- H. The Municipality will, at no cost to the Department, assure proper adjustment, relocation, or repair of any portion of a service, whether above or below ground, that is located within the limits of the highway right-of-way and connected to any municipal utility, which might become necessary to

permit construction of the Project. The Municipality agrees to hold the Department harmless from any claims for damages occurring as a result thereof.

- I. The Municipality agrees that during and after construction it will apply the requirements of the most recent version of the Department's "Utility Accommodation Policy" as the minimum guidelines not withstanding any municipal rules that are more lenient.
- J. To the extent that it is statutorily responsible therefore, the Municipality agrees to provide utilities, and to maintain all improvements and fixtures constructed, installed or furnished as a part of the Project in such a manner as necessary to preserve the use and function thereof for the expected period of their normal useful life as determined by accepted engineering and/or industry standards. To the extent any warranty exists for said improvements or fixtures, said warranty shall be first relied on by MaineDOT to address maintenance and/or repairs described in this paragraph.
- K. The Municipality agrees that except for an emergency, or as allowed in § 3351-A, it will prohibit the excavation of the highway within the limits of the Project for a period of at least five (5) years after completion of the Project, and agrees to make all necessary notifications to abutters and occupants of the highway as otherwise required of any city government under the provisions of 23 M.R.S.A. § 3351. Thereafter, all future excavations within the right-of-way of the Project shall be regulated and controlled in the manner specified by the Department in its most recent "*Rules, Regulations and Policies for Highway Openings*", which is incorporated herein and made a part hereof by reference.
- L. The Municipality will be responsible to keep new or replaced/rehabilitated pedestrian facilities in usable condition.
- M. The Municipality agrees to keep the right-of-way of the Project inviolate from all encroachments and agrees to remove, or cause to be removed, anything that may encroach thereon.
- N. When applicable the Municipality agrees to regulate all entrances to the highway within the limits of the Project in accordance with the provisions of 23 M.R.S.A. § 704.
- O. The Municipality with city council approval agrees to limit all on-street parking to the parking spaces as designed and constructed under the Project.
- P. The Department shall have all of its common law, equitable and statutory rights of set-off. These rights shall include, but not be limited to the Department's option to withhold for the purposes of set-off any monies owed to the Municipality with regard to this Agreement and any other agreement with the Department, including any agreement for a term commencing prior to the term of this Agreement, plus any amounts owed to the Municipality for any other contract with any State of Maine department or agency.
- Q. To the extent permitted by law, the Municipality shall indemnify, defend and hold harmless the Department, its officers, agents and employees from all claims, suits or liabilities arising from any negligent or wrongful act, error or omission by the Municipality, its officials, employees, agents, consultants or contractors. Nothing herein shall waive any defense, immunity or limitation of liability that may be available to either party under the Maine Tort Claims Act (14 M.R.S. Section 8101 et seq.) or any other privileges or immunities provided by law. *Any other provision of this agreement to the contrary notwithstanding, this provision shall survive any termination or expiration of this Agreement.*

R. The Department may postpone, suspend, abandon or otherwise terminate this Agreement upon thirty (30) days written notice to the Municipality and in no event shall any such action be deemed a breach of contract. Postponement, suspension, abandonment or termination may be taken for any reason by the Department or specifically as the result of any failure by the Municipality to perform any of the services required under this Agreement to the satisfaction of the Department.

In the event of Project termination, all provisions of this Agreement shall become null and void except for those set forth under *Appendices A and C, if attached to this Agreement, as well as those provisions that by their very nature are intended to survive.*

S. The Municipality certifies, by signing this Agreement, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any Federal department or agency. If the Municipality is unable to certify to this statement, it shall attach an explanation to this Agreement. The Municipality shall promptly notify MaineDOT if it or its principals becomes debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

T. The Parties agree to: comply with and abide by all applicable State and Federal laws, statutes, rules, regulations, standards and guidelines, including the MUTCD and OSHA standards, and Agreement provisions; avoid hindering each other's performance; fulfill all obligations diligently; and cooperate in achievement of the intent of this Agreement.

U. All provisions of this Agreement, *except those provided above in Paragraphs J-Q and those that by their very nature are intended to survive*, shall expire at Project final voucher, or upon final payment by the Municipality of any Project costs as hereinbefore provided, whichever occurs later.

V. Anything herein to the contrary notwithstanding, the Municipality acknowledges and agrees that although the execution of this agreement by the Department manifests its intent to honor its terms and to seek funding to fulfill any obligations arising hereunder, by law any such obligations are subject to available budgetary appropriations by the Maine Legislature and, therefore, this agreement does not create any obligation on behalf of MaineDOT in excess of such appropriations.

W. No assignment of this agreement is contemplated, and in no event shall any assignment be made without the express written permission of the Department.

IN WITNESS WHEREOF, the Parties hereto have executed this AGREEMENT in duplicate effective on the day and date last signed below.

CITY OF PORTLAND

MAINE DEPARTMENT OF TRANSPORTATION

By: \_\_\_\_\_  
MARK H. REES, CITY MANAGER

By: \_\_\_\_\_  
DALE DOUGHTY, MAINT. &  
OPERATIONS DIRECTOR

\_\_\_\_\_  
(Date Signed)

\_\_\_\_\_  
(Date Signed)

*I certify that the signature above is true and accurate. I further certify that the signature, if electronic: (a) is intended to have the same force as a manual signature; (b) is unique to myself; (c) is capable of verification; and (d) is under the sole control of myself.*

**APPENDIX A**  
**PROJECT SCOPE AND COST SHARING**

**MAINE DEPARTMENT OF TRANSPORTATION**  
**MUNICIPAL/STATE AGREEMENT**  
 Transportation Improvement Project

**MUNICIPALITY OF PORTLAND**

PROPOSED IMPROVEMENTS TO CUMBERLAND AVE. AT PREBLE ST. & CUMBERLAND AVE. AT ELM ST.

FEDERAL AID PROJECT NO. STP-2020(000)  
 STATE PROJECT IDENTIFICATION NUMBER (WIN) 20200.00

**Project Scope:** Signal improvements to include new controller, control cabinet, mast arms, foundations, signal heads, and video detection, install ground mount signal heads and upgrade all pedestrian facilities to meet current ADA standards.

**Funding Outline:** The Total Project Estimated Cost is \$471,000.00, and the Parties agree to share costs through all stages of the Project under the terms outlined below.

Work Element	Municipal Share		Project Share		Total Cost
	%	\$	%	MaineDOT (State) Portion	
Preliminary Engineering			90	14,400	16,000
Right of Way			90	0	0
Construction			90	193,500	215,000
Construction Engineering			90	18,000	20,000
<b>PROJECT SHARES</b>		\$0		\$225,900	\$251,000
Total Cost of Additional Work Requested by Municipality (Below)	100%	220,000			
<b>TOTAL ESTIMATED MUNICIPAL SHARE (Receivable Amount)</b>		\$220,000			

(Check if applicable)

Additional Work as outlined in Appendix C to this Agreement.

**Funding Outline:** The Municipality agrees to pay 100% of the costs for the work outlined below.

Additional Work	Cost: 100% Municipal Share
UPGRADE SIGNAL & ADA IMPROVEMENTS AT CUMBERLAND/ELM	\$220,000
TYPE OF WORK	COST
TYPE OF WORK	COST
<b>TOTAL COST OF ADDITIONAL WORK REQUESTED BY MUNICIPALITY</b>	<b>\$220,000</b>

**Payment:**

The Municipality shall submit payment to the Department within 30 days from the invoice date.

1. Prior to award of the contract for Project construction, the Municipality will be invoiced a portion of its share of the cost of the Project. Invoicing will include the following:
  - a. 100% of the local share of the Preliminary Engineering and Right of Way costs; plus
  - b. Fifty percent (50%) of the local share of the Construction and Construction Engineering cost based on the total bid price of the Project; plus
  - c. 100% of all additional work requested by the Municipality (when applicable).
2. **Final Voucher Payment to the Contractor.** A final bill will be created following the Department's final voucher payment to the Contractor, after all quantities are verified, and any required adjustments have been made. The cost of the work for which the Department will bill the Municipality shall be determined by the contract prices and the completed quantities of the work items or, in the event of termination, the local share of Project development cost to the point of termination as stipulated above. The final invoice will include the Municipality's share of any remaining costs.

**Invoicing Schedule:** The Municipal Payment Schedule shown below includes estimated invoicing dates based upon the estimated schedule and estimated cost of the Project:

Entity Name	Receivable Amount	Estimated Invoice Date
CITY OF PORTLAND	\$220,000	AUGUST 31, 2014
CITY OF PORTLAND	\$TBD	JANUARY 31, 2015
	\$	
	\$	

## **APPENDIX B**

### **PERPETUAL TRAFFIC SIGNAL OPERATION AND MAINTENANCE**

#### **MAINE DEPARTMENT OF TRANSPORTATION**

##### **MUNICIPAL/STATE AGREEMENT**

Transportation Improvement Project

##### **MUNICIPALITY OF PORTLAND**

**PROPOSED IMPROVEMENTS TO CUMBERLAND AVE. AT PREBLE ST. &  
CUMBERLAND AVE. AT ELM ST.**

**FEDERAL AID PROJECT NO. STP-2020(000)X**

**STATE PROJECT IDENTIFICATION NUMBER (WIN) 20200.00**

- A. The Municipality agrees to operate and maintain the traffic signal to function as designed and installed by the Department, unless approved otherwise as hereinafter provided or as necessary as follows:
1. All malfunctions and deficiencies in the traffic signal or any equipment appurtenant that is not covered by warranty shall be repaired or corrected expeditiously in accordance with the Institute of Transportation Engineer's (ITE) "Traffic Signal Installation and Maintenance Manual". Any failure to repair or correct the traffic signal to function as designed could, upon written notification from the Department, result in the Department making all necessary repairs at the Municipality's expense.
  2. The visibility of the traffic signal shall be preserved and maintained at all times by removing any visual impairment thereto.
  3. Except in the case of an emergency, no change in operation or modification to the traffic signal or any equipment appurtenant thereto shall be made without the express written approval of the Department.
  4. The Department shall be notified in writing prior to any removal or replacement of the traffic signal or any equipment and appurtenant thereto. Upon removal, such traffic signal or equipment shall be returned to the Department, at no cost to the Department, unless otherwise agreed upon in writing.
- B. The Municipality agrees to be responsible for the electrical service for the traffic signal and agrees to execute any necessary documentation required to establish such service and provide any local permits necessary for the installation of such service.
- C. The Municipality agrees to maintain all pavement markings (including stop bars, lane use arrows and all striping necessary to delineate the turning lane) and all traffic control signs as furnished under the project.